
Executive Decision Capital Budget Monitoring April- March 2020/21

Decision to be taken by: City Mayor

Overview Select Committee: 27th May 2021

Lead director/officer: Alison Greenhill

Useful information

- Ward(s) affected: All
- Report author: Amy Oliver
- Author contact details: amy.oliver@leicester.gov.uk

1. Summary

- 1.1 The purpose of this report is to show the position of the capital programme at the end of 2020/21.
- 1.2 This is the final capital monitoring report of the financial year following similar monitoring reports as at Period 4, Period 6 and Period 9.
- 1.3 As reported throughout the year the COVID-19 pandemic has had a significant impact on the capital programme, with many schemes delayed. Some £36.9m slippage has been reported on work programmes, the chief reason for which is the pandemic. A number of projects have had to revise their forecast completion dates, some of these schemes are now showing as green in the RAG rating as the revised dates are expected to be met. This will have an impact on capacity to deliver additional schemes next year as we make up for lost time and was considered when putting the 2021/22 capital budget together.
- 1.4 Work is continuing to monitor any additional cost pressures as a consequence of the COVID-19 pandemic.

2. Recommended actions/decision

2.1 The Executive is recommended to:

- Note total spend of £133.5m for the year.
- Note the progress in delivery of major projects, as shown at Appendix A.
- Note progress on spending work programmes, as shown at Appendix B, and approve the carry-forward of resources into 2021/22 for schemes where spend has slipped into 2021/22 (£36.9m).
- Note that the majority of provisions remain unspent as shown at Appendix C and approve the carry forward of the Early Years – Two Year Olds provision into 2021/22 (£141k), Appendix C, Para 1.4.
- Note that across a number of schemes, £6.3m has been declared as savings following completion of schemes within budget. Of this £5m was funded by Corporate resources and will now be available for future capital projects.
- Approve the transfer of £1.7m from additional secondary school places to the schools' capital maintenance work programme to fund the ongoing costs for the temporary modular buildings up to 2028.
- Approve the transfer of £1m to Connecting Leicester from Pioneer Park Infrastructure, as detailed at Appendix A, Planning, Development & Transportation, Para 2.2.

- Approve the addition of £850k to assist with the redevelopment of land at Stocking Farm and Southfields/Newry, to be funded with a contribution from One Public Estate Land Release Fund. The redevelopment is aimed at assisting with preparation of the land for building homes.
- Approve the addition of £798k to Highways Maintenance, funded by DfT grant, Appendix B, para 3.6.
- Approve the addition of £750k to Additional SEND places, funded from the underspend on additional secondary school places, Appendix A, Children's Services, Para 2.1.
- Approve the addition of £562k for replacement of cladding on the Phoenix Square building to be funded by grant from Homes England, as detailed at Appendix B para 3.19.
- Approve the addition of £290k for refurbishment of Knighton Park and Evington Park depots, funded from the depot reserve.

The OSC is recommended to:

- Consider the overall position presented within this report and make any observations it sees fit.

3. Scrutiny / stakeholder engagement

N/a

4. Background and options with supporting evidence

4.1 The 2020/21 Capital programme was initially approved by Council on 19th February 2020. It has subsequently been amended after monitoring exercises.

The capital programme is split in the following way:

- (a) Schemes classified as '**immediate starts**', which require no further approval to commence; and
- (b) A number of separate '**policy provisions**' which are not released until specific proposals have been approved by the Executive.

4.2 Immediate Starts are further split into:

- (a) **Projects**, which are discrete, individual schemes such as a road scheme or a new building. Monitoring of projects focusses on delivery of projects on time and the achievement of milestones. Consequently, there is no attention given to in-year financial slippage;
- (b) **Work Programmes**, which consist of minor works or similar on-going schemes where there is an allocation of money to be spent during a particular year. Monitoring of work programmes focusses on whether the money is spent in a timely fashion;

- (c) **Provisions**, which are sums of money set aside in case they are needed, where low spend is a favourable outcome rather than indicative of a problem;
- (d) **Schemes which are substantially complete**. These schemes are the tail end of schemes in previous years' capital programmes, usually consisting of small amounts of money brought forward from earlier years.

4.3 A summary of the total approved 2020/21 capital programme as at Period 12 is shown below:

	£000
Projects	217,280
Work Programmes	141,302
Provisions	202
Schemes Substantially Complete	29,070
Total Immediate Starts	387,854
Policy Provisions	35,308
Total Capital Programme	423,162

4.4 The following changes have occurred to the capital programme since Period 9:

	£000
Energy Efficiency Technology addition	24,341
Jewry Wall addition	2,500
Goscote House Demolition addition	1,952
Council Housing - External Property Works - re profiled	892
External Property Works addition	400
Virtual Desktop Infrastructure Expansion addition	250
Highways Maintenance addition	170
Fashion Technology Academy addition	100
Net Movements	30,605

These movements are included in the table at 4.3 above.

4.5 The following appendices to this report show progress on each type of scheme:

- Appendix A – Projects
- Appendix B – Work Programmes
- Appendix C – Provisions
- Appendix D – Projects Substantially Complete
- Appendix E – Policy Provisions

4.6 This report only monitors policy provisions to the extent that spending approval has been given, at which point they will be classified as projects, work programmes or provisions.

4.7 Capital Receipts

4.7.1 At Period 12, the Council has realised £11.7m of General Fund capital receipts, of which £4.5m is unallocated to the approved capital programme.

4.7.2 "Right to Buy" receipts from sales of council housing have amounted to £10.6m received in year.

5. Detailed report

N/A

6. Financial, legal, equalities, climate emergency and other implications

6.1 Financial implications

This report is solely concerned with financial issues.

Colin Sharpe, Deputy Director of Finance, 37 4001

6.2 Legal implications

There are no legal implications arising directly from the recommendations of this report.

Emma Jackman, Head of Law (Commercial, Property and Planning).

6.3 Equalities implications

No Equality Impact Assessment (EIA) has been carried out as this is not applicable to a budget monitoring report.

6.4 Climate Emergency implications

This report is solely concerned with financial issues.

6.5 Other implications (You will need to have considered other implications in preparing this report. Please indicate which ones apply?)

No other implications are noted as this is a budget monitoring report, and therefore no policy changes are proposed.

7. Background information and other papers:

Capital Programme 2020/21 presented to Council on 19th February 2020.

Housing Revenue Account Budget (including Capital Programme) 2020/21 presented to Council on 19th February 2020.

2019/20 Capital Monitoring Outturn Report presented to OSC on 29th July 2020.

2020/21 Capital Monitoring P4 Report presented to OSC on 24th September 2020.

2020/21 Capital Monitoring P6 Report presented to OSC on 3rd December 2020.

2020/21 Capital Monitoring P9 Report presented to OSC on 16th March 2021.

8. Summary of appendices:

- Appendix A – Projects
- Appendix B – Work Programmes
- Appendix C – Provisions
- Appendix D – Projects Substantially Complete
- Appendix E – Policy Provisions

9. Is this a private report (If so, please indicate the reasons and state why it is not in the public interest to be dealt with publicly)?

No.

10. Is this a “key decision”? If so, why?

No

PROJECTS

1. Summary

- 1.1 As stated in the cover report, the focus of monitoring projects is physical delivery, i.e. whether they are being delivered on time, on budget and to the original specification. This appendix summarises progress on projects. Project summaries provided by departments/divisions are shown on pages 10-21 within this Appendix.

Department / Division	Total Budget £000	2020/21 Spend £000
Corporate Resources	1,166	428
Smart Cities	200	10
Adult Social Care	2,510	0
Planning, Development & Transportation	116,302	36,611
Tourism, Culture & Inward Investment	25,981	2,217
Neighbourhood & Environmental Services	2,261	409
Estates & Building Services	28,097	180
Children's Services	23,519	3,714
Public Health	3,056	2,016
Housing Revenue Account	14,188	7,320
Total	217,280	52,905

- 1.2 A list of the individual projects is shown in the table on pages 8-9 of this report. This also summarises the progress of each project. Attention is drawn to expected completion dates and any project issues that have arisen.
- 1.3 A colour-coded rating of progress of each project has been determined, based on whether the project is progressing as expected, and whether it is still expected to complete within budget.
- 1.4 The ratings used are:
- (a) **Green** Successful delivery of the project on time, within budget, to specification and in line with original objectives seems very likely. There are no major issues that appear to threaten delivery significantly.
 - (b) **Amber** Successful delivery of the project on time, within budget, to specification and in line with original objectives appears probable. However, some risks exist and close attention will be required to ensure these risks do not materialise into major issues threatening delivery. Alternatively, a project is classed as amber if some insubstantial slippage or minor overspend is probable.

- (c) **Red** Successful delivery of the project on time, within budget, to specification and in line with original objectives appears to be unachievable. The project is expected to require redefining, significant additional time or additional budget.
- (d) **Blue** The project is complete.
- (e) **Purple** The project is on hold, for reasons which have nothing to do with management of the capital programme. Examples include reconsideration of whether the project is still needed as originally proposed, or withdrawal of a funder.

2. Summary of Individual Projects

Dept/ Division	Project	Total Budget (£000)	2020/21 Spend (£000)	O/(U)spend (£000)	Original Completion Date	Forecast Completion Date	Previous Reported RAG Rating	Project RAG Rating @ P12
CRS	Cash Income Management System	566	36	(530)	Jan-20	Oct-21	Purple	Blue
CRS	Corporate LAN/WAN Network Cisco Infrastructure Replacement	600	392	0	Dec-21	Dec-21	Green	Green
SC	Smart Cities Pilot Projects	200	10	0	Dec-20	Mar-22	Amber	Green
ASC	Extra Care Schemes	2,510	0	0	Aug-20	TBC	Purple	Purple
CDN (PDT)	Leicester North West Major Transport Scheme	5,778	5,434	(35)	Mar-20	Mar-21	Green	Blue
CDN (PDT)	Connecting Leicester	67,613	15,914	0	Nov-20	Mar-23	Green	Green
CDN (PDT)	Waterside Strategic Regeneration Area	9,892	2,989	0	Mar-23	Mar-23	Green	Green
CDN (PDT)	St George's Churchyard	812	9	0	Aug-18	Mar-22	Green	Green
CDN (PDT)	Ashton Green	625	317	0	Mar-21	Mar-22	Green	Amber
CDN (PDT)	Pioneer Park	2,406	699	(1,080)	Jan-21	May-21	Green	Green
CDN (PDT)	Pioneer Park Commercial Workspace (formerly Dock 2)	4,892	4,699	0	Spring 18	May-21	Green	Green
CDN (PDT)	Ashton Green Highways Infrastructure	8,584	4,824	0	Mar-21	Nov-21	Green	Green
CDN (PDT)	City-wide Parkmap TRO review, signs and lines upgrades	200	0	0	Mar-21	Jun-21	Green	Green
CDN (PDT)	North West Leicester Regeneration Area	500	101	0	Mar-22	Mar-22	Green	Green
CDN (PDT)	St Margaret's Gateway	13,500	1,552	0	Sep-22	Sep-22	Green	Green
CDN (PDT)	High Streets Heritage Action Zones	1,500	73	0	Apr-24	Apr-24	Green	Green
CDN (TCI)	Jewry Wall Museum Improvements	16,735	1,377	0	Mar-23	Mar-23	Green	Green
CDN (TCI)	Leicester Market Redevelopment	2,916	319	0	Dec-21	Mar-23	Green	Green
CDN (TCI)	Abbey Pumping Station	246	7	0	Mar-19	Nov-21	Green	Green
CDN (TCI)	Gresham Business Workspace	250	0	0	Mar-21	Sep-21	Green	Green
CDN (TCI)	Onsite Construction Skills Hub	818	0	0	Dec-22	Dec-22	Green	Green
CDN (TCI)	New Walk Museum Phase 1	2,439	63	0	Mar-22	Mar-23	Amber	Green
CDN (TCI)	Museums Security Programme	125	0	0	Nov-21	Nov-21	Green	Green
CDN (TCI)	Visit Leicester Relocation	320	57	0	Nov-21	Mar-22	Amber	Green
CDN (TCI)	Growth Hub	1,400	384	0	Jun-23	Jun-23	Green	Green
CDN (TCI)	Phoenix 2020	400	10	0	Mar-23	Mar-23	Green	Green
CDN (TCI)	Fashion Technology Academy	332	0	0	Aug-23	Aug-23	N/A	Green
Total		146,159	39,266	(1,645)				

Dept/ Division	Project	Total Budget (£000)	2020/21 Spend (£000)	O/(U)spend (£000)	Original Completion Date	Forecast Completion Date	Previous Reported RAG Rating	Project RAG Rating @ P12
CDN (NES)	St Mary's Allotments	507	301	0	Jul-19	Jul-21	Green	Amber
CDN (NES)	Abbey Park Precinct Wall	546	11	0	Mar-22	Mar-22	Green	Green
CDN (NES)	Library RFID Self-Service System	330	0	0	Mar-21	Dec-21	Amber	Green
CDN (NES)	Library Improved Self-Access Pilot	210	0	0	Mar-21	Dec-21	Amber	Green
CDN (NES)	Reuse Shop Expansion	530	35	0	Jul-20	Jul-21	Green	Green
CDN (NES)	Highways and Parks Public Toilet Refurbishment	138	62	0	Mar-21	Jul-21	Green	Amber
CDN (EBS)	Housing Estate Shops	905	0	0	Mar-22	Mar-22	Green	Green
CDN (EBS)	Touchdown Project	50	0	0	Mar-21	Mar-22	Green	Green
CDN (EBS)	Haymarket Theatre - Internal Completion Works	614	35	0	Mar-21	Mar-22	Purple	Green
CDN (EBS)	Haymarket Bus Station - Toilet Expansion and Refurbishments	446	48	0	Dec-20	Aug-21	Amber	Green
CDN (EBS)	Climate Emergency - Carbon Reduction Fund	888	0	0	Mar-22	Mar-22	Green	Green
CDN (EBS)	Energy Efficiency Technology	25,194	97	0	Mar-22	Mar-22	N/A	Green
ECS	Additional SEND Places (including Pupil Referral Units)	15,310	3,278	2,050	Dec-19	Sep-22	Red	Red
ECS	Overdale Infant and Juniors School Expansion	3,534	219	0	Nov-21	Mar-22	Green	Green
ECS	Expansion of Oaklands Special School	4,675	217	0	Mar-22	Sep-22	Green	Green
PH	Leisure Centre Improvement Programme	2,656	1,902	0	Mar-20	Jun-21	Green	Green
PH	Leisure Centre Air Handling Units	400	114	0	Mar-20	Jul-21	Green	Green
Total (excluding HRA)		203,092	45,585	405				
CDN (HRA)	St Leonard's Tower Block - Lift	528	32	0	Mar-18	Sep-21	Green	Green
CDN (HRA)	Goscote House Demolition	4,796	409	0	Jan-20	Jan-22	Green	Green
CDN (HRA)	New House Build Council Housing	6,811	6,810	0	Apr-20	Jun-23	Green	Green
CDN (HRA)	Tower Block Sprinkler Systems	1,322	23	0	Apr-22	Apr-22	Green	Green
CDN (HRA)	Property Conversions	481	46	0	Mar-22	Mar-22	Green	Green
CDN (HRA)	Feasibility Study for Sheltered Housing	250	0	0	Apr-22	Apr-22	Green	Green
Total HRA		14,188	7,320	0				
Total (including HRA)		217,280	52,905	405				

Commentary on Specific Projects

- 3.1 Explanatory commentary for projects that are not currently progressing as planned, or for which issues have been identified, is provided in the next pages. This has been defined as any scheme that has a RAG Rating other than “green” or “blue”.

Capital Programme Project Monitoring 2020/21 Outturn

Corporate Resources

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Cash Income Management System	566	(530)	Jan 2020	Oct 2021	B
Corporate LAN/WAN Network Cisco Infrastructure Replacement	600	0	Dec 2021	Dec 2021	G
Total	1,166	(530)			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

2.1 Cash Income Management System – Following a procurement exercise the incumbent supplier will remain the contractor. Therefore, the investment previously identified will no longer be required.

Capital Programme Project Monitoring 2020/21 Outturn

Smart Cities

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Smart Cities Pilot Projects	200	0	Dec 2020	March 2022	G
Total	200	0			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

Capital Programme Project Monitoring 2020/21 Outturn

Adults

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Extra Care – Two Schemes	2,510	0	Aug 2020	TBC	P
Total	2,510	0			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

- 2.1 **Extra Care – Two Schemes** – the scheme is currently on hold pending further negotiations with relevant parties.

Capital Programme Project Monitoring 2020/21 Outturn

Planning, Development & Transportation

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Leicester North West Transport Scheme	5,778	(35)	March 2020	March 2021	B
Connecting Leicester	67,613	0	Nov 2020	March 2023	G
Waterside	9,892	0	March 2023	March 2023	G
St George's Churchyard	812	0	Aug 2018	March 2022	G
Ashton Green	625	0	March 2021	March 2022	A
Pioneer Park	2,406	(1,080)	Jan 2021	May 2021	G
Pioneer Park Commercial Workspace	4,892	0	Spring 2018	May 2021	G
Ashton Green Highways Infrastructure	8,584	0	March 2021	Nov 2021	G
City-wide Parkmap TRO review, signs and lines upgrades	200	0	March 2021	June 2021	G
North West Leicester Regeneration Area	500	0	March 2022	March 2022	G
St Margaret's Gateway	13,500	0	Sep 2022	Sep 2022	G
High Streets Heritage Action Zones	1,500	0	April 2024	April 2024	G
Total	116,302	(1,115)			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

2.1 **Ashton Green** – There have been delays with the next stage of development, mainly due to the impact of COVID-19.

2.2 Pioneer Park - Pioneer Park scheme deliverables have been achieved. However, a further £1m of LGF funding is still available to be utilised. It is proposed to transfer this to Connecting Leicester to accelerate works adjacent to Pioneer Park.

Capital Programme Project Monitoring 2020/21 Outturn

Tourism, Culture and Inward Investment

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Jewry Wall Museum Improvements	16,735	0	March 2023	March 2023	G
Leicester Market Redevelopment	2,916	0	Dec 2021	March 2023	G
Abbey Pumping Station	246	0	March 2019	Nov 2021	G
Gresham Business Workspace	250	0	March 2021	Sep 2021	G
Onsite Construction Skills Hub	818	0	Dec 2022	Dec 2022	G
New Walk Museum Phase 1	2,439	0	March 2022	Mar 2023	G
Museums Security Programme	125	0	Nov 2021	Nov 2021	G
Visit Leicester Relocation	320	0	Nov 2021	March 2022	G
Growth Hub	1,400	0	June 2023	June 2023	G
Phoenix 2020	400	0	March 2023	March 2023	G
Fashion Technology Academy	332	0	August 2023	August 2023	G
Total	25,981	0			

2. Projects Commentary (for **all** projects rated Amber, Red or Purple).

Capital Programme Project Monitoring 2020/21 Outturn

Neighbourhood and Environmental Services

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
St Mary's Allotments	507	0	July 2019	July 2021	A
Abbey Park Precinct Wall	546	0	March 2022	March 2022	G
Library RFID Self-Service System	330	0	March 2021	Dec 2021	G
Library Improved Self-Access Pilot	210	0	March 2021	Dec 2021	G
Reuse Shop Expansion	530	0	July 2020	July 2021	G
Highways and Parks Public Toilet Refurbishment	138	0	March 2021	July 2021	A
Total	2,261	0			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

2.1 St Mary's Allotments - Works are continuing on site, however planned community volunteering activities such as tree planting and habitat box installation cannot take place currently due to COVID-19 restrictions. These activities will take place later in 2021.

2.2 Highways and Parks Public Toilet Refurbishment - Due to delays with materials during the COVID-19 pandemic, the expected completion has been rescheduled for the summer.

Capital Programme Project Monitoring 2020/21 Outturn

Estates and Building Services

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Housing Estate Shops	905	0	March 2022	March 2022	G
Touchdown Project	50	0	March 2021	March 2022	G
Haymarket Theatre - Internal Completion Works	614	0	March 2021	March 2022	G
Haymarket Bus Station - Toilet Expansion and Refurbishments	446	0	Dec 2020	August 2021	G
Climate Emergency – Carbon Reduction Fund	888	0	March 2022	March 2022	G
Energy Efficiency Technology	25,194	0	March 2020	March 2022	G
Total	28,097	0			

2. Projects Commentary (for **all** projects rated Amber, Red or Purple).

2.1 Energy Efficiency Technology – This project was added to the capital programme, using external funding following successful bids, to enable the Council to undertake a programme of investment into its estate which underpins its declaration of a climate emergency and climate action plan.

Capital Programme Project Monitoring 2020/21 Outturn

Children's Services

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Additional SEND Places (including Primary Pupil Referral Unit)	15,310	2,050	Dec 2019	Sept 2022	R
Overdale Infant and Juniors School Expansion	3,534	0	Nov 2021	March 2022	G
Expansion of Oaklands Special School	4,675	0	March 2022	Sept 2022	G
Total	23,519	2,050			

2. Projects Commentary (for **all** projects rated Amber, Red or Purple).

2.1 Additional SEND Places (including Primary Pupil Referral Unit) - Recent specialist surveys and developed design proposals specifically at the Rowans, Netherhall, and Knighton Lane SEN projects have identified additional works. These predominately relate to increased specialist teaching, play and ICT equipment, which are required to deliver an increased pupil user experience and curriculum, and to allow for the schools to cater for a greater SEN spectrum than originally intended. As such, approval is sought to transfer £750k from the underspend on additional secondary school places. Approval will be sought at a later date for the additional £1.3m funding, once plans are finalised.

Capital Programme Project Monitoring 2020/21 Outturn

Public Health

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
Leisure Centre Improvement Programme	2,656	0	March 2020	June 2021	G
Leisure Centre Air Handling Units	400	0	March 2020	July 2021	G
Total	3,056	0			

2. Projects Commentary (for **all** projects rated Amber, Red or Purple).

Capital Programme Project Monitoring 2020/21 Outturn

Housing

1. Projects Summary

Project Name	Approval 2020/21 (£000)	Over / (Under) Spend (£000)	Original Completion Date	Forecast Completion Date	RAG Rating
St Leonard's Tower Block - Lift	528	0	March 2018	Sept 2021	G
Goscote House Demolition	4,796	0	Jan 2020	Jan 2022	G
New Build Council Housing	6,811	0	April 2020	June 2023	G
Tower Block Sprinklers	1,322	0	April 2022	April 2022	G
Property Conversions	481	0	March 2022	March 2022	G
Feasibility Study for Sheltered Housing	250	0	April 2022	April 2022	G
Total	14,188	0			

2. Projects Commentary (for all projects rated Amber, Red or Purple).

WORK PROGRAMMES**1. Summary**

- 1.1 As stated in the cover report, work programmes are minor works or similar on-going schemes where there is an allocation of money to be spent during a particular year. Monitoring of work programmes focusses on whether the money is spent in a timely fashion.

Department / Division	Approved to spend in 20/21 £000	2020/21 Spend £000	Slippage £000	Over/(under) Spend £000
Adult Social Care	21	0	0	(21)
City, Development & Neighbourhoods	595	338	257	0
Planning, Development & Transportation	26,766	13,548	13,218	0
Tourism, Culture & Inward Investment	1,390	407	983	0
Neighbourhood & Environmental Services	1,160	487	580	(93)
Estates & Building Services	3,673	2,010	1,663	0
Housing General Fund	10,035	4,042	4,123	(1,870)
Children's Services	4,791	2,789	2,002	0
Total (excluding HRA)	48,431	23,621	22,826	(1,984)
Housing Revenue Account	49,234	34,479	14,112	(643)
Total (including HRA)	97,665	58,100	36,938	(2,627)

2. Summary of Individual Work Programmes

Work Programme	Dept/ Division	Approved £000	2020/21 Spend £000	Slippage £000	Over/(under) Spend £000
Dementia Friendly Buildings Initiative	ASC	21	0	0	(21)
Feasibility Studies	CDN	595	338	257	0
Transport Improvement Works	CDN (PDT)	9,912	3,486	6,426	0
Bus Engine Retrofitting (DFT funded)	CDN (PDT)	467	242	225	0
Air Quality Action Plan	CDN (PDT)	463	255	208	0
Highways Maintenance	CDN (PDT)	7,628	4,396	3,232	0
Townscape Heritage Initiative - Business Grants	CDN (PDT)	284	186	98	0
Flood Strategy	CDN (PDT)	312	274	38	0
Festive Decorations	CDN (PDT)	49	48	1	0
Local Environmental Works	CDN (PDT)	341	250	91	0
Legible Leicester	CDN (PDT)	201	18	183	0
Parking Strategy Development	CDN (PDT)	274	247	27	0
Leicester Strategic Flood Risk Management Strategy	CDN (PDT)	2,835	1,692	1,143	0
Potential Strategic Development Sites Assessment	CDN (PDT)	225	205	20	0
Architectural & Feature Lighting	CDN (PDT)	200	0	200	0
Front Wall Enveloping	CDN (PDT)	225	160	65	0
Replacement Doors & Windows St Savours Rd	CDN (PDT)	50	4	46	0
Transforming Cities Work Programmes	CDN (PDT)	2,855	2,011	844	0
Campbell Street Feasibility Study	CDN (PDT)	200	14	186	0
Conservation Building Grants	CDN (PDT)	50	31	19	0
Street Nameplates City Branding Programme	CDN (PDT)	100	0	100	0
On-Street Charging	CDN (PDT)	95	29	66	0
Heritage Interpretation Panels	CDN (TCI)	288	44	244	0
Retail Gateways	CDN (TCI)	276	37	239	0
Arts & Museum Security Improvements	CDN (TCI)	40	40	0	0
Leicester Museum and Art Gallery	CDN (TCI)	350	3	347	0
Cank St Feasibility	CDN (TCI)	236	179	57	0
Local Shopping Centres Reopening & Improvement Programme	CDN (TCI)	200	104	96	0
Parks Plant and Equipment	CDN (NES)	150	149	1	0
Beaumont Park Depot Rd & Related works	CDN (NES)	99	6	0	(93)
Cossington Recreation Ground Access Improvements	CDN (NES)	187	187	0	0
Parks and Open Spaces	CDN (NES)	724	145	579	0
Euston Street Store	CDN (EBS)	157	36	121	0
Property & Operational Estate Capital Maintenance Programme	CDN (EBS)	3,266	1,944	1,322	0
Pilot House	CDN (EBS)	250	30	220	0
Private Sector Disabled Facilities Grant	CDN (HGF)	3,213	1,066	561	(1,586)
Repayable Home Repair Loans	CDN (HGF)	300	16	0	(284)
Vehicle Fleet Replacement Programme	CDN (HGF)	6,522	2,960	3,562	0
School Capital Maintenance	ECS	4,541	2,706	1,835	0
Foster Care Capital Contribution Scheme	ECS	250	83	167	0
Total (excluding HRA)		48,431	23,621	22,826	(1,984)

Work Programme	Dept/ Division	Approved £000	2020/21 Spend £000	Slippage £000	Over/(under) Spend £000
Council Housing - New Kitchens and Bathrooms	CDN (HRA)	4,115	3,144	971	0
Council Housing - Boiler Replacements	CDN (HRA)	3,456	2,100	1,356	0
Council Housing - Rewiring	CDN (HRA)	1,884	1,445	439	0
Council Housing - Disabled Adaptations & Improvements	CDN (HRA)	1,300	816	0	(484)
Council Housing - Insulation Works	CDN (HRA)	100	14	86	0
Council Housing - External Property Works	CDN (HRA)	2,548	2,222	198	(128)
Council Housing - Fire and Safety Works	CDN (HRA)	1,538	783	755	0
Community & Environmental Works	CDN (HRA)	2,385	1,994	360	(31)
Affordable Housing - Acquisitions	CDN (HRA)	29,291	20,737	8,554	0
Affordable Housing - RPs & Others	CDN (HRA)	854	433	421	0
Public Realm Works	CDN (HRA)	1,200	247	953	0
Business Systems	CDN (HRA)	563	544	19	0
Total HRA		49,234	34,479	14,112	(643)
Total (including HRA)		97,665	58,100	36,938	(2,627)

3. Commentary on Specific Work Programmes

- 3.1 Explanatory commentary for work programmes not currently progressing as planned, or for which issues have been identified is provided below. For monitoring purposes this has been defined as any scheme where budgets have significantly changed, where spend is low or where material slippage is forecast. Due to the pandemic, there has been a lot of slippage.
- 3.2 **Feasibility Studies** - Slippage is due to delays caused by COVID-19. With lockdown restrictions beginning to ease, studies will commence in 21-22.
- 3.3 **Transport Improvement Works** - The main areas of work under this work programme are on the infrastructure at Aylestone and Putney Road linking to Welford Road. Slippage is in part a result of delays by utilities providers due to COVID-19 related issues.
- 3.4 **Bus Engine Retrofitting** - The £225k slippage is due to COVID-19 related delays in obtaining specialist parts.
- 3.5 **Air Quality Action Plan** – The £200k slippage is primarily for COVID-19 related reasons, including putting on hold the purchase of staff pool cars.
- 3.6 **Highways Maintenance** – The main slippage is on the Welford Road scheme, due to reprogramming of works to reduce impact on traffic flows as a result of COVID-19 related restrictions. Approval is sought to add £798k of additional DFT grant to the 21/22 capital programme. The additional funding will be used to expand the Highways programme of capital maintenance works.
- 3.7 **Legible Leicester** - Slippage is due to delays in the contractor supply chain due to COVID-19.
- 3.8 **Leicester Strategic Flood Risk Management Strategy** – Slippage is due to COVID-19 delays in scheme design, tendering and technical approvals.. Towpath works are being delivered by our partner, the Canal and River Trust. Their preparatory work was delayed in spring 2020 when survey work was required to inform design and tender documents. Contractors were unable to visit the site. This has led to an overall slippage in the programme of approximately 4 months.
- 3.9 **Architectural & Feature Lighting** - The approved schemes have been delayed due to COVID-19 lockdowns, as the applicants are hospitality businesses.
- 3.10 **Transforming Cities Work Programmes** - Slippage is due to COVID-19 impacting on related supply and contractor delays.
- 3.11 **Campbell Street Feasibility Study** - The £186k is carried forward into 21/22 due to an extended period of commercial negotiations with a prospective development partner.

- 3.12 **Street Nameplates City Branding Programme** – The new supply contract for nameplates is now in place and the installation programme will get underway in late spring. Currently, nameplate styles are being finalised.
- 3.13 **Heritage Interpretation Panels** – The project was delayed due to capacity issues with the contractor, this has been resolved and will be delivered in 21/22.
- 3.14 **Retail Gateways** – The programme slippage is a result of not being able to deliver some of the schemes caused by business closures and lockdowns due to COVID-19.
- 3.15 **Leicester Museum and Art Gallery** - Community engagement has been delayed by the pandemic. The funders of the gallery, Arts Council England, have been kept informed of the delays. It is expected that the gallery will now open by March 2022.
- 3.16 **Beaumont Park Depot Rd & Related works** – This programme has been cancelled, as the site may be used for Park & Ride.
- 3.17 **Parks and Open Spaces** – As previously reported slippage is required due to delays with consulting key stakeholders.
- 3.18 **Euston Street Store** - Slippage is requested to complete consequential works of the main programme. This includes the secure storage facility for controlled collections, with the detailed specification taking longer than expected to finalise...
- 3.19 **Property & Operational Estate Capital Maintenance Programme** - The corporate maintenance programme has slipped due to difficulty getting contractors on site as a result of COVID-19 lockdowns. It is proposed to add £562k for replacement cladding on the Phoenix Square building to be funded by grant from Homes England.
- 3.20 **Pilot House** – The project was delayed as options were considered. Spend on design work is planned for 21/22.
- 3.21 **Disabled Facilities Grants** – Following a review of the budget required in 2021/22 to meet demand and the announcement of additional government grant we are able to release £1.6m of corporate resource from the current programme.
- 3.22 **Repayable Home Repairs Loans** – As a demand-led service, the requests for loans varies, and the provision was under-subscribed. The £280k underspend is unlikely to be required in 21/22.
- 3.23 **Fleet Replacement** – Orders for vehicles have been placed to the full extent of the budget. However, the time-lag between placing orders and their delivery has resulted in slippage, exacerbated by the increases in manufacturing and delivery times caused by COVID-19 and a supplier going into administration.

- 3.24 **School Capital Maintenance** - Forecast slippage is mainly due to delays in contractors being able to gain access to schools to carry out works.
- 3.25 **Foster Carers – Capital Contribution** -. The slippage of £167k is expected to be required in 2021/22 to continue to support accommodation for look after children.
- 3.26 **Kitchens & Bathrooms** – Limited access to tenants’ properties due to COVID-19 has resulted in slippage of £1m on this work programme. A concerted effort to refurbish void properties has reduced the slippage from the £1.7m forecast at period 9.
- 3.27 **Boiler Replacements** – Boiler replacements have been limited compared with previous years due to COVID-19, but contractors are catching up with planned work. This report seeks approval to slip £1.4m into 2021/22.
- 3.28 **Re-Wiring** – Re-wiring during the year has been limited to void properties, and this is expected to continue into 2021/22. As a result of additional focus on turning around void properties, the slippage has reduced to £0.4m.
- 3.29 **Disabled Adaptations** – This demand-led work programme has underspent by just under £0.5m; fewer requests for support were received during the year.
- 3.30 **External Property Works** –Re-roofing and soffits/facia work to the value of £892k was brought forward from 2021/22 and the budget reprofiled.. The concrete survey work reported at period 9 is not yet complete so will slip into 2021/22, along with the balcony improvement work on Aikman Avenue. A focus on repairing windows and doors has led to an underspend of £128k on the replacement budget.
- 3.31 **Fire & Safety Works** – As reported previously, there is a national delay in the process for manufacturers of fire doors gaining accredited approval for their use from government. Existing doors are being monitored to ensure they remain safe, but the current procurement process continues to be affected.
- 3.32 **Communal & Environmental Works** – Due to the external nature of the work some of the 2021/22 programme of concrete path replacement was brought forward, to the value of £120k. However, large-scale planned works on the district heating network have been delayed because of the desire to avoid shutdowns during lockdown.
- 3.33 **Affordable Housing – Acquisitions** – Although COVID-19 has had an impact on the number of properties available for purchase, a continued pipeline of properties has been maintained. The purchase of the units at Hospital Close from UHL on 1 April 2021 rather than in March has resulted in slippage of £8.6m.
- 3.34 **Public Realm Works** – Whilst the full budget for the year has been allocated to specific schemes, much of the work was not completed by the end of March. The extended lockdown period led to procurement delays, with improvement schemes to internal areas of blocks being pushed back until it is safer to carry

out work in public areas. The Green Team has carried out work to make significant improvements to green areas and local stakeholders are working with the landscape architects to develop further plans for St Matthew's and St Peter's estates.

PROVISIONS**1. Summary**

- 1.1 As stated in the cover report, provisions are sums of money set aside in case they are needed, where low spend is a favourable outcome rather than indicative of a problem.
- 1.2 As at the end of Period 12, £11k of the budgets for capital provisions had been spent.
- 1.3 Normally provisions are there if needed. The sums below are for the 2020/21 financial year.

Provision	Dept/ Division	Approved £000	2020/21 Spend £000	2020/21 Total £000	Remaining Budget £000
Empty Homes Purchase	CDN (HGF)	50	0	0	50
Early Years - Two Year Olds	ECS	152	11	11	141
Total		202	11	11	191

- 1.4 The Early Years capital funding is to support development of places for early education for the city. It was not advisable for expansion and development of provision to take place in 2020/21, given the risks of sustainability of providers due to COVID-19. In the year ahead, it is anticipated that this funding will be required to support small project development cases, as providers are needing to remodel and respond to the changes in demand. Approval is sought to slip the remaining £141k in to the 21/22 programme.

PROJECTS SUBSTANTIALLY COMPLETE**1. Summary**

- 1.1 As at the end of Period 12, the following schemes were nearing completion. The budgets are the unspent amounts from previous years' capital programmes, mainly as a result of slippage.

Project	Dept/ Division	Approved £000	2020/21 Spend £000	Over/(Under) Spend £000
ICT Investment - Phase 2 - Liquidlogic	ASC	64	22	0
Great Central Street / Vaughan Way	CDN (PDT)	186	36	(150)
11-15 Horsefair Street	CDN (EBS)	145	9	0
Haymarket House, Car Parks & Lifts	CDN (EBS)	3,757	3,189	0
Demolition of Former Anchor Recovery Centre	CDN (EBS)	75	62	0
Corporate Storage Area Network (SAN) Replacement	CRS	350	350	0
Virtual Desktop Infrastructure (VDI) Expansion	CRS	500	500	0
Additional Primary School Places	ECS	189	109	(7)
Additional Secondary School Places	ECS	22,757	17,381	(3,608)
Children's Residential Homes	ECS	879	723	0
St Paul's Temporary Modular Buildings	ECS	7	0	(7)
New Parks House	ECS	48	22	0
Relocation of Sexual Health Clinic	PH	113	77	0
Total		29,070	22,480	(3,772)

POLICY PROVISIONS**1. Summary**

- 1.1 As at Period 12, the following policy provisions were still awaiting formal approval for allocation to specific schemes.

Department/ Division	Policy Provision	Amount £000
CDN (PDT)	Economic Action Plan	1,000
CDN (PDT)	Ashton Green Infrastructure	400
CDN (PDT)	Strategic Acquisitions	4,000
CDN (EBS)	Commercial Property Acquisitions	1,933
CDN (TCII)	Tourism & Culture	550
CDN (TCII)	Highways, Transport & Infrastructure	3,364
CDN (Various)	People & Neighbourhoods	1,792
ECS	New School Places	14,569
ASC	Extra Care Schemes	6,700
Total (excluding HRA)		34,308
CDN (HRA)	Other HRA Schemes	1,000
Total HRA		1,000
Total (including HRA)		35,308

- 1.2 Releases from policy provisions since the 2019/20 Outturn (reflected in the tables above) are listed below:

- £170k policy provision for Cossington Recreation Ground Access Improvements released 29/04/20.
- £500k policy provision for North West Leicester Regeneration Area released 10/06/20.
- £236k policy provision for Cank St Feasibility released 23/06/20.
- £250k policy provision for Pilot House released 29/06/2020.
- £10,030k policy provision for Additional Secondary School Places released 10/07/20.
- £8,122k policy provision for Additional SEND Places (including Pupil Referral Units) released 17/07/20.
- £920k policy provision for Additional SEND Places (including Pupil Referral Units) released 29/07/20.
- £500k policy provision for Local Shopping Centres Reopening & Improvement Programme released 29/07/20.
- £138k policy provision for Highways and Parks Public Toilet Refurbishment released 05/10/20.
- £3,200k policy provision for St Margaret's and Granby St/St George's Street Regeneration Gateways released 23/10/20.
- £232k policy provision for the Fashion Technology Academy released 24/03/21.

- 1.3 The Economic Action Plan Policy Provision includes £1,000k that has been committed for the Cultural Investment Programme, as per an executive decision taken on 23rd October 2018. This money will not be formally committed until all of the other funding for the scheme is in place.